

Exhibit F



FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP
WWW.FINNEGAN.COM

MAREESA A. FREDERICK

202.408.4383

mareesa.frederick@finnegan.com

February 19, 2021

(VIA ELECTRONIC FILING)

The Honorable Lisa R. Barton
Secretary
U.S. International Trade Commission
500 E Street, S.W., Room 112
Washington, DC 20436

Re: *Certain Chemical Mechanical Planarization Slurries And Components Thereof*, Inv. No. 337-TA-1204

Dear Secretary Barton:

Enclosed for filing please find Respondents DuPont de Nemours, Inc., Rohm and Haas Electronic Materials CMP, LLC, Rohm and Haas Electronic Materials CMP Asia Inc. (d/b/a Rohm and Hass Electronic Materials CMP Asia Inc., Taiwan Branch (U.S.A.)), Rohm and Haas Electronic Materials Asia-Pacific Co., Ltd., Rohm and Haas Electronic Materials K.K., Rohm and Haas Electronic Materials LLC (collectively “DuPont”) *Final Exhibit List* in connection with the above-referenced investigation.

Sincerely,

/s/ *Mareesa A. Frederick*

Mareesa A. Frederick

cc: Counsel of Record
Per Certificate of Service

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0001	CBI			Respondents' First Corporate Deposition Notice to Complainant	Cavanaugh; Grumbine; Hinrichsen; Omholt; Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0002				Respondents' Notice of Deposition of Dr. Daniel D. Woodland	Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0003				Dann Woodland LinkedIn Page	Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0004				WITHDRAWN		
RX-0005				WITHDRAWN		
RX-0006				WITHDRAWN		
RX-0007				WITHDRAWN		
RX-0008				WITHDRAWN		
RX-0009				WITHDRAWN		
RX-0010				WITHDRAWN		
RX-0011				WITHDRAWN		
RX-0012				WITHDRAWN		
RX-0013				WITHDRAWN		
RX-0014				WITHDRAWN		
RX-0015	CBI	CMC00040540	CMC00040541	E. Yoon E-mail to J. Jeong re D9222 Status Update + Sample request(SR#14637)	Raghavan; Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0016	CBI	CMC00025405	CMC00025406	Sample Request Details for request number : 14416	Raghavan; Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0017	CBI	CMC00041920	CMC00041921	Dec. 16, 2014, M. Willhoff email re 3M intro file	Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0018	CBI	CMC00024498	CMC00024555	Project Coyote GSM Introduction Presentation	Herrington; Woodland; Admission wo Sponsoring Witness	2/4/2021
RX-0019				WITHDRAWN		
RX-0020				WITHDRAWN		
RX-0021				WITHDRAWN		
RX-0022				WITHDRAWN		
RX-0023	CBI	CMC00016028	CMC00016028	ILD490 Spreadsheet	Cavanaugh; Dysard; Omholt; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0024	CBI	CMC00016352	CMC00016352	TOC and Kjeldahl Total Nitrogen, CMC Test 1 Spreadsheet	Raghavan; Klein; Grumbine; Shen; Admission wo Sponsoring Witness	2/4/2021
RX-0025		DUPONT1204_00000021	DUPONT1204_00000031	U.S. Pat. No. 7,994,057	Dysard; Raghavan; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0026				WITHDRAWN		
RX-0027		DUPONT1204_0031480	DUPONT1204_0031498	U.S. Patent No. 9,567,491	Dysard; Grumbine; Omholt; Raghavan; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0028	CBI	CMC00022642	CMC00022650	Nov. 6, 2012, J. Dysard email string re Meeting with you(SUGITA/FUSO)	Raghavan	2/5/2021
RX-0029				WITHDRAWN		
RX-0030				WITHDRAWN		
RX-0031	CBI	CMC00026804	CMC00026804	Feb 2, 2018, S. Rao email re FUSO FY2019 Forecast	Dysard; Admission wo Sponsoring Witness	2/4/2021
RX-0032	CBI	CMC00026805	CMC00026805	Purchase volume and Forecast Spreadsheet	Raghavan; Dysard; Admission wo Sponsoring Witness	2/4/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0033	CBI	CMC00026806	CMC00026808	Fuso 2019 Forecast Presentation	Dysard; Admission wo Sponsoring Witness	2/4/2021
RX-0034	CBI	CMC00028383	CMC00028386	Jan. 22, 2018, S. Sugita email string re A Happy New Year	Dysard; Admission wo Sponsoring Witness	2/4/2021
RX-0035	CBI	CMC00027564	CMC00027567	Fuso update Presentation	Dysard; Admission wo Sponsoring Witness	2/4/2021
RX-0036		DUPONT1204_0031780	DUPONT1204_0031804	JP 2016008157 (Japanese and English Translation)	Dysard; Omholt; Shen; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0037				Respondents' Notice of Deposition of Thomas E. Omholt	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0038				Thomas Omholt LinkedIn Page	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0039	CBI	CMC00024186	CMC00024195	First Amended and Restated Supply Agreement	Herrington; Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0040		CMC00000733	CMC00000821	U.S. Non-Provisional Application 14/749,948	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0041		CMC00000546	CMC00000550	Transmittal for Power of Attorney to One or More Registered Practitioners	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0042		DUPONT1204_0031499	DUPONT1204_0031516	U.S. Patent No. 9,556,363	Omholt; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0043		DUPONT1204_0030724	DUPONT1204_0030747	U.S. Patent No. 9,422,456	Omholt; Raghavan; Dauskardt; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0044		DUPONT1204_0030918	DUPONT1204_0030941	U.S. Patent No. 9,422,457	Omholt; Klein; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0045				WITHDRAWN		
RX-0046	CBI	CMC00024107	CMC00024111	Amendment No. 2 to First Amended and Restated Supply Agreement	Omholt; Herrington; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0047		CMC00000724	CMC00000731	Response to Informational Notice to Applicant in Application 14/749,948	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0048	CBI	CMC00046725	CMC00046728	Aug. 27, 2013, E. Shen email re modified membrane filtration slides and attached presentation	Omholt; Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0049	CBI	CMC00040500	CMC00040500	Feb. 4, 2014, E. Shen email re membrane filtration	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0050	CBI	CMC00040501	CMC00040501	Zeta Potential and Filtration Spreadsheet	Omholt; Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0051	CBI	CMC00014998	CMC00015009	Office Action in Application 14/749,948	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0052		CMC00000508	CMC00000523	Response to Office Action in Application 14/749,948	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0053	CBI	CMC00015081	CMC00015088	Notice of Allowance in Application 14/749,948	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0054				Application for Issuance of Subpoena Duces Tecum and Ad Testificandum to Dr. Zhongliang Shen	Shen; Admission wo Sponsoring Witness	2/4/2021
RX-0055				LinkedIn Profile of Zhongliang Shen	Shen; Admission wo Sponsoring Witness	2/4/2021
RX-0056				WITHDRAWN		
RX-0057	CBI	CMC00020276 and CMC00020282		Fuso - Past, Present, and Future Presentation	Shen; Admission wo Sponsoring Witness	2/4/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0058	CBI	CMC00040129	CMC00040130	Apr. 25, 2013, E. Shen email re PL-5C & PL-3C both display linear correlation	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0059	CBI	CMC00040158	CMC00040158	May 8, 2013, E. Shen email re business review ppt	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0060	CBI	CMC00032512	CMC00032535	Fuso Analyticals Presentation	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0061	CBI	CMC00040273	CMC00040274	Jul. 22, 2013, E. Shen email re particle surface treatment	Shen; Admission wo Sponsoring Witness	2/4/2021
RX-0062	CBI	CMC00040300	CMC00040305	Aug, 9, 2013, E. Shen email string re CoA	Shen; Admission wo Sponsoring Witness	2/4/2021
RX-0063	CBI	CMC00016247	CMC00016255	Distribution of Aminosilane in SiO2 Particles Presentation	Shen; Admission wo Sponsoring Witness	2/4/2021
RX-0064	CBI	CMC00046767	CMC00046777	Sep. 19, 2013, E. Shen email re summary of quantification of amine/ammonium in particles and attached Presentation on Quantification of Residual Catalysts in Silica Particles	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0065	CBI	CMC00046778	CMC00046790	Oct. 3, 2013, E. Shen email re Quantification of Residual Catalysts in Silica Particles and attached Presentation	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0066	CBI	CMC00017412	CMC00017451	Positively Charged Silica Particles: Synthesis and Characterizations Presentation	Shen; Raghavan; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0067	CBI	CMC00017387	CMC00017411	D922x: Particle Surface Chemistry and TEOS RR Presentation	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0068	CBI	CMC00016314	CMC00016326	Particle-Pad Interaction Study Presentation	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0069	CBI	CMC00016327	CMC00016350	Wafer-Particle-Pad Interaction Study Presentation	Shen; Raghavan; Admission wo Sponsoring Witness	2/4/2021
RX-0070				WITHDRAWN		
RX-0071				WITHDRAWN		
RX-0072	CBI	DTI00000022	DTI00000023	Dispersion Technology, Inc. Certified Reference Material Colloidal Silica for Calibration and Demonstration	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0073	CBI	DTI00000024	DTI00000035	DTI 1210 Operating Manual	Dauskardt	2/4/2021
RX-0074	CBI	DTI00000036	DTI00000242	Operating Manual of Dispersion Technology Instruments	Dukhin; Admission wo Sponsoring Witness	2/5/2021
RX-0075	CBI	DTI00000243	DTI00000450	Operating Manual of Dispersion Technology Instruments	Dukhin; Admission wo Sponsoring Witness	2/5/2021
RX-0076	CBI	DTI00000451	DTI00000659	Operating Manual of Dispersion Technology Instruments	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0077		DTI00000690	DTI00000706	V. A. Hackley et al., <i>Analysis of the Isoelectric Point in Moderately Concentrated Alumina Suspensions Using Electroacoustic and Streaming Potential Methods</i> , 23(5) J. of Dispersion Science and Technology 601-17 (2002), https://doi.org/10.1081/DIS-120015366	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0078		DTI00000853	DTI00000857	Marek Kosmulski et al., <i>Multiinstrument Study of the Electrophoretic Mobility of Quartz</i> , 250(1) J. of Colloid and Interface Science 99-103 (2002), https://doi.org/10.1006/jcis.2002.8330	Dukhin; Admission wo Sponsoring Witness; Miller	2/4/2021
RX-0079		DTI00000858	DTI00000861	Marek Kosmulski et al., <i>Multiinstrument Study of the Electrophoretic Mobility of Fumed Silica</i> , 74(1) Analytical Chemistry 253-256 (2002), https://doi.org/10.1021/ac015584h	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0080		DTI00000876	DTI00000881	Philip J. Goetz, <i>Acoustic and Electroacoustic Spectroscopy</i> , 81(2) American Ceramic Society Bulletin 27-32 (2002).	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0081		DTI00000905	DTI00000964	Andrei S. Dukhin & Philip J. Goetz, <i>Acoustic and electroacoustic spectroscopy for characterizing concentrated dispersions and emulsions</i> , 92(1) Advances in Colloid and Interface Science 73-132 (2001), https://doi.org/10.1016/S0001-8686(00)00035-X .	Dukhin; Admission wo Sponsoring Witness	2/4/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0082		DTI00001024	DTI00001033	Andrei S. Dukhin & Philip J. Goetz, <i>Characterization of aggregation phenomena by means of acoustic and electroacoustic spectroscopy</i> , 144(1) Colloids and Surfaces A: Physicochemical and Engineering Aspects 49-58 (1998), https://doi.org/10.1016/S0927-7757(98)00565-2 .	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0083		DTI00001140	DTI00001143	Marek Kosmulski, Andrei S. Dukhin, Torsten Priester & Jarl B. Rosenthal, <i>Multilaboratory study of the shifts in the IEP of anatase at high ionic strengths</i> , 263(1) J. of Colloid and Interface Science 152-155 (2003), https://doi.org/10.1016/S0021-9797(03)00328-X .	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0084		DTI00001408	DTI00001434	Dispersion Technology, Inc. Characterization of CMP slurries	Dukhin; Admission wo Sponsoring Witness; Miller	2/4/2021
RX-0085		DTI00001718	DTI00001740	Dispersion Technology, Inc., Andrei Dukhin, Presentation on Measuring interfacial properties using Electroacoustics	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0086		DTI00001761	DTI00001765	Dispersion Technology, Inc. Specifications Webpage	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0087				WITHDRAWN		
RX-0088				WITHDRAWN		
RX-0089				WITHDRAWN		
RX-0090				WITHDRAWN		
RX-0091				WITHDRAWN		
RX-0092				WITHDRAWN		
RX-0093				WITHDRAWN		
RX-0094				WITHDRAWN		
RX-0095				WITHDRAWN		
RX-0096				WITHDRAWN		
RX-0097				WITHDRAWN		
RX-0098				Application for Issuance of Subpoena Duces Tecum and Ad Testificandum to Dispersion Technology, Inc.	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0099				Respondents' Notice of Deposition of Samuel Hinrichsen	Hinrichsen; Admission wo Sponsoring Witness	2/4/2021
RX-0100				WITHDRAWN		
RX-0101				WITHDRAWN		
RX-0102	CBI	CMC00046767	CMC00046767	Sep. 19, 2013, E. Shen email re summary of quantification of amine/ammonium in particles	Grumbine; Admission wo Sponsoring Witness	2/4/2021
RX-0103	CBI	CMC00046768	CMC00046777	Quantification of Residual Catalysts in Silica Particles Presentation	Grumbine; Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0104	CBI	CMC00127458	CMC00127482	Fuso Analyticals Presentation	Grumbine; Admission wo Sponsoring Witness	2/4/2021
RX-0105	CBI	CMC00046691	CMC00046691	Nov. 26, 2018, J. Sarma email string re zeta	Grumbine; Admission wo Sponsoring Witness	2/4/2021
RX-0106	CBI	CMC00046692	CMC00046699	Transitioning to the ZetaProbe for Electro-Acoustic ζ -Potential Presentation	Grumbine; Admission wo Sponsoring Witness; Miller	2/4/2021
RX-0107	CBI	CMC00014689	CMC00014725	Colloidal Dynamics ZetaProbe Assessment Presentation	Grumbine; Admission wo Sponsoring Witness; Miller	2/4/2021
RX-0108	CBI	CMC00022390	CMC00022392	Colloidal Dynamics Letter to J. Cross	Grumbine; Admission wo Sponsoring Witness; Miller	2/4/2021
RX-0109	CBI	CMC00022394	CMC00022394	Colloidal Dynamics ZetaProbe DataLogging Series Report Spreadsheet	Grumbine; Admission wo Sponsoring Witness; Miller	2/4/2021
RX-0110	CBI	CMC00024102	CMC00024106	Amendment No. 1 to First Amended and Restated Supply Agreement	Grumbine; Admission wo Sponsoring Witness; Herrington; Raghavan	2/4/2021
RX-0111	CBI	CMC00017122	CMC00017170	Technical Program Review - Grumbine Presentation	Grumbine; Admission wo Sponsoring Witness; Raghavan	2/4/2021
RX-0112				WITHDRAWN		
RX-0113				Respondents' Notice of Deposition of Mary Cavanaugh	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0114				Mary Cavanaugh LinkedIn Page	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0115				WITHDRAWN		
RX-0116				WITHDRAWN		
RX-0117	CBI	CMC00023045	CMC00023054	iDIEL™ D9228 Product Specification	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0118				WITHDRAWN		
RX-0119				WITHDRAWN		
RX-0120				WITHDRAWN		
RX-0121				WITHDRAWN		
RX-0122				WITHDRAWN		
RX-0123				WITHDRAWN		
RX-0124				WITHDRAWN		
RX-0125	CBI	CMC00003291	CMC00003291	ILD486 - Mirra Spreadsheet	Cavanaugh; Admission wo Sponsoring Witness; Raghavan	2/4/2021
RX-0126				WITHDRAWN		
RX-0127				WITHDRAWN		
RX-0128	CBI	CMC00121411	CMC00121417	Feb. 11, 2014, J. Jeong email string re D9222 Test results update	Cavanaugh; Admission wo Sponsoring Witness; Raghavan	2/4/2021
RX-0129	CBI	CMC00117367	CMC00117375	Jan. 27, 2014, J. Jeong email string re D9222 Test results update and attached D9222 Test results 2014 1 27	Cavanaugh; Admission wo Sponsoring Witness; Raghavan	2/4/2021
RX-0130	CBI	CMC00048175	CMC00048175	May 31, 2013, J. Dysard email re Abrasives VS JDA	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0131				WITHDRAWN		
RX-0132				WITHDRAWN		
RX-0133	CBI	CMC00108045	CMC00108045	Removal Rate Experiment Spreadsheet	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0134	CBI	CMC00087781	CMC00087786	Oct. 16, 2015, A. Wang email string re D9228	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0135	CBI	CMC00061509	CMC00061511	Oct. 12, 2016, M. Cavanaugh email string re D9228 Competitive Info	Cavanaugh; Admission wo Sponsoring Witness; Vander Veen; Herrington;	2/4/2021
RX-0136	CBI	CMC00060655	CMC00060655	Oct. 20, 2016, K. Pankiewicz email string re D9228	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0137	CBI	CMC00059609	CMC00059609	Nov. 1, 2016, K. Turner email string re D9228 Quotation	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0138	CBI	CMC00018704	CMC00018716	Project Coyote Meeting Presentation	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0139	CBI	CMC00067967	CMC00067967	Nov. 16, 2016, K. Wu email re Dow Optiplane 2118	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0140	CBI	CMC00068456	CMC00068459	May 18, 2017, M. Cavanaugh email string re CMP technical seminar from D company	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0141	CBI	CMC00027275	CMC00027276	Aug. 24, 2017, K. Pankiewicz email string re D9228 next demo proposal and Competitors' OP2300 intelligence update	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0142	CBI	CMC00059646	CMC00059647	Sep. 8, 2016, A. Wang email string re D922X CMP Applications Package Update - September 2016	Cavanaugh; Admission wo Sponsoring Witness	2/4/2021
RX-0143				WITHDRAWN		
RX-0144	CBI	CMC00003223	CMC00003223	Cabot ILD456: acetic acid DOE, particle lot to lot experimental data spreadsheet	Raghavan	2/5/2021
RX-0145	CBI	CMC00003264	CMC00003264	ILD463 - Reflexion Spreadsheet	Raghavan	2/5/2021
RX-0146	CBI	CMC00003474	CMC00003474	Cabot TSVO-046 D320X Spreadsheet	Raghavan	2/5/2021
RX-0147				WITHDRAWN		
RX-0148				WITHDRAWN		
RX-0149	CBI	CMC00003853	CMC00003853	particle analyticals spreadsheet	Raghavan	2/5/2021
RX-0150	CBI	CMC00004015	CMC00004021	Polishing Rate Discussion Presentation	Raghavan	2/5/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0151				WITHDRAWN		
RX-0152	CBI	CMC00015812	CMC00015812	Cabot ILD455: Fuso vs cationically treated particle Spreadsheet	Raghavan	2/5/2021
RX-0153	CBI	CMC00015984	CMC00015984	ILD475 - Mirra Spreadsheet	Raghavan	2/5/2021
RX-0154	CBI	CMC00015985	CMC00015985	ILD475 - Mirra Spreadsheet	Raghavan	2/5/2021
RX-0155	CBI	CMC00016035	CMC00016035	ILD Data Summary Spreadsheet	Raghavan	2/5/2021
RX-0156				WITHDRAWN		
RX-0157	CBI	CMC00016468	CMC00016499	Transfer Package for Presentation	Raghavan; Dauskardt	2/4/2021
RX-0158				WITHDRAWN		
RX-0159				WITHDRAWN		
RX-0160				WITHDRAWN		
RX-0161	CBI	CMC00018024	CMC00018024	Particle and Pad Polishing Data Spreadsheet	Raghavan	2/5/2021
RX-0162				WITHDRAWN		
RX-0163	CBI	CMC00022918	CMC00022927	Safety Data Sheet	Raghavan	2/5/2021
RX-0164	CBI	CMC00024715	CMC00024716	July 24, 2013, Purchase Order	Raghavan	2/5/2021
RX-0165	CBI	CMC00024717	CMC00024718	Aug. 16, 2013, Purchase Order	Raghavan	2/5/2021
RX-0166	CBI	CMC00024853	CMC00024853	Cabot Particle Purchase Summary	Raghavan	2/5/2021
RX-0167				WITHDRAWN		
RX-0168	CBI	CMC00025403	CMC00025404	Feb. 27, 2014, Bill of Lading	Raghavan	2/5/2021
RX-0169	CBI	CMC00034193	CMC00034194	Apr. 7, 2014, S. Grumbine email chain re D9222 Status update + Sample request	Raghavan	2/5/2021
RX-0170	CBI	CMC00039393	CMC00039407	Fuso and Colloidal Strategy Presentation	Raghavan	2/5/2021
RX-0171	CBI	CMC00040055	CMC00040056	March 2013 Invoice	Raghavan	2/5/2021
RX-0172	CBI	CMC00053679	CMC00053682	August 2013 Invoice	Raghavan	2/5/2021
RX-0173	CBI	CMC00053706	CMC00053710	August 2013 Invoice	Raghavan	2/5/2021
RX-0174	DUPONT1204_00003174	DUPONT1204_00003185		U.S. Pat. No. 5,230,833	Raghavan	2/5/2021
RX-0175	CBI	DUPONT1204_00011290	DUPONT1204_00011292	Fuso High Purity Electronic Materials Presentation	Raghavan	2/5/2021
RX-0176	CBI	DUPONT1204_00054785	DUPONT1204_00054787	Mar. 18, 2013, Jia Ni Chu Email String	Raghavan	2/5/2021
RX-0177	CBI	DUPONT1204_00054789	DUPONT1204_00054809	Fuso High Purity Electronic Materials Presentation	Raghavan	2/5/2021
RX-0178	CBI	DUPONT1204_00056163	DUPONT1204_00056164	Import Genius report	Raghavan	2/5/2021
RX-0179	CBI	DUPONT1204_00056179	DUPONT1204_00056180	Import Genius report	Raghavan	2/5/2021
RX-0180		DUPONT1204_00018902	DUPONT1204_00018923	U.S. Pat. App. Pub. No. 2010/0071272	Raghavan	2/5/2021
RX-0181	CBI	DUPONT1204_0021487	DUPONT1204_0021500	Investigating the Effect of Silanol Groups on CMP Application Presentation	Raghavan	2/5/2021
RX-0182	CBI	DUPONT1204_0021503	DUPONT1204_0021503	TEOS Removal Rate Spreadsheet	Raghavan	2/5/2021
RX-0183				WITHDRAWN		
RX-0184	CBI	DUPONT1204_0022469	DUPONT1204_0022491	Slotting Gate Presentation Silica Based STI Slurry for Memory Devices	Raghavan; Lowry	2/4/2021
RX-0185	CBI	DUPONT1204_0022492	DUPONT1204_0022504	Gate Summary Document	Raghavan	2/5/2021
RX-0186	CBI	DUPONT1204_0022574	DUPONT1204_0022586	Mixed Assessment Gate Presentation	Raghavan; Lowry	2/4/2021
RX-0187	CBI	DUPONT1204_0023049	DUPONT1204_0023069	Fuso Quartron Series Developing Grades Presentation	Raghavan	2/5/2021
RX-0188	CBI	DUPONT1204_0025559	DUPONT1204_0025566	Multi-Component Tunable STI Slurry Presentation	Raghavan	2/5/2021
RX-0189	CBI	DUPONT1204_0026320	DUPONT1204_0026347	Slurry Quarterly Update Presentation	Raghavan; Lowry	2/4/2021
RX-0190				WITHDRAWN		
RX-0191		DUPONT1204_0027767	DUPONT1204_0027788	Jianfeng Luo & David A. Dornfeld, <i>Material Removal Mechanism in Chemical Mechanical Polishing: Theory and Modeling</i> , 14(2) IEEE Transactions on Semiconductor Manufacturing 112-33 (2001)	Raghavan	2/5/2021
RX-0192		DUPONT1204_0027813	DUPONT1204_0027817	S Tabatabaei et al., <i>Experimental study of the synthesis and characterisation of silica nanoparticles via the sol-gel method</i> , 26 J. of Physics: Conference Series 371-74 (2006)	Raghavan	2/5/2021
RX-0193		DUPONT1204_0027827	DUPONT1204_0027846	B.J. Jankiewicz et al., <i>Silica-metal core-shell nanostructures</i> , 170 Advances in Colloid and Interface Science 28-47 (2011)	Raghavan	2/5/2021
RX-0194		DUPONT1204_0027847	DUPONT1204_0027870	Shin-Etsu Chemical Co., Ltd., Silane Coupling Agents (Jul. 2012)	Raghavan; Klein	2/4/2021
RX-0195				WITHDRAWN		
RX-0196				WITHDRAWN		
RX-0197				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0198		DUPONT1204_0028187	DUPONT1204_0028205	A. van Blaaderen, <i>Synthesis and Characterization of Monodisperse Colloidal Organo-silica Spheres</i> , 156 J. of Colloid and Interface Science, 1-18 (1993)	Raghavan; Dauskardt	2/5/2021
RX-0199		DUPONT1204_0028238	DUPONT1204_0028244	Marcell Pálmai et al., <i>Preparation, purification, and characterization of aminopropyl-functionalized silica sol</i> , 390 J. of Colloid and Interface Science 34-40 (2013)	Raghavan	2/5/2021
RX-0200				WITHDRAWN		
RX-0201		DUPONT1204_0028276	DUPONT1204_0028281	Zhijian Wu et al., <i>Surface properties of submicrometer silica spheres modified with aminopropyltriethoxysilane and phenyltriethoxysilane</i> , 304 J. of Colloid and Interface Science 119-24 (2006)	Raghavan	2/5/2021
RX-0202		DUPONT1204_0028282	DUPONT1204_0028288	Ali R. Mazaheri & Goodarz Ahmadi, <i>A Model for Effect of Colloidal Forces on Chemical Mechanical Polishing</i> , 150(4) J. of the Electrochemical Society G233-39 (2003)	Raghavan	2/5/2021
RX-0203				WITHDRAWN		
RX-0204		DUPONT1204_0028304	DUPONT1204_0028318	Suchita Kalele et al., <i>Nanoshell particles: synthesis, properties and applications</i> , 91(6) Current Science 1038-52 (2006)	Raghavan	2/5/2021
RX-0205		DUPONT1204_0028324	DUPONT1204_0028332	M. Sivanandini et al., Chemical Mechanical Polishing by Colloidal Silica Slurry, 3(3) Int'l J. of Engineering Research and Applications 1337-45 (2013)	Raghavan	2/5/2021
RX-0206		DUPONT1204_0028351	DUPONT1204_0028355	Hooisweng Ow et al., <i>Bright and Stable Core-Shell Fluorescent Silica Nanoparticles</i> , 5(1) Nano Letters 113-17 (2004)	Raghavan	2/5/2021
RX-0207				WITHDRAWN		
RX-0208				WITHDRAWN		
RX-0209		DUPONT1204_0028652	DUPONT1204_0028660	Huining Xiao & Norlito Cezar, <i>Organo-modified cationic silica nanoparticles/anionic polymer as flocculants</i> , 267 J. of Colloid and Interface Science 343-51 (2003)	Raghavan; Klein	2/4/2021
RX-0210				WITHDRAWN		
RX-0211		DUPONT1204_0028842	DUPONT1204_0028843	Raphael M. Ottenbrite et al., <i>Self-Catalyzed Synthesis of Organo-Silica Nanoparticles</i> , 83(12) J. American Ceramic Society 3214-15 (2000)	Raghavan	2/5/2021
RX-0212				WITHDRAWN		
RX-0213		DUPONT1204_0028896	DUPONT1204_0028903	Christina Graf et al., <i>A General Method To Coat Colloidal Particles with Silica</i> , 19 Langmuir 6693-700 (2003)	Raghavan	2/5/2021
RX-0214		DUPONT1204_0028913	DUPONT1204_0028920	Erick Soto-Cantu et al., <i>Synthesis and Rapid Characterization of Amine-Functionalized Silica</i> , 28 Langmuir 5562-69 (2012)	Raghavan	2/5/2021
RX-0215				WITHDRAWN		
RX-0216		DUPONT1204_0029030	DUPONT1204_0029038	U.S. Pat. No. 7,753,974	Raghavan	2/5/2021
RX-0217				WITHDRAWN		
RX-0218				WITHDRAWN		
RX-0219		DUPONT1204_0029130	DUPONT1204_0029138	U.S. Pat. No. 8,840,798	Raghavan	2/5/2021
RX-0220		DUPONT1204_0029144	DUPONT1204_0029157	U.S. Pat. App. Pub. No. 2009/0267021	Raghavan	2/5/2021
RX-0221		DUPONT1204_0029196	DUPONT1204_0029233	Japanese Pat. App. Pub. No. 2009-054935 (English Version)	Raghavan	2/5/2021
RX-0222		DUPONT1204_0029524	DUPONT1204_0029547	U.S. Pat. App. Pub. No. 2009/0202816	Raghavan	2/5/2021
RX-0223		DUPONT1204_0029573	DUPONT1204_0029588	U.S. Pat. App. Pub. No. 2009/0223136	Raghavan	2/5/2021
RX-0224				WITHDRAWN		
RX-0225				WITHDRAWN		
RX-0226		DUPONT1204_0029702	DUPONT1204_0029711	U.S. Patent No. 8,252,687	Raghavan	2/5/2021
RX-0227				WITHDRAWN		
RX-0228		DUPONT1204_0029912	DUPONT1204_0029923	U.S. Pat. App. Pub. No. 2009/0081927	Raghavan; Dauskardt	2/5/2021
RX-0229		DUPONT1204_0029956	DUPONT1204_0029991	U.S. Pat. No. 8,529,787	Klein	2/4/2021
RX-0230		DUPONT1204_0030029	DUPONT1204_0030055	WO 2009/042073	Raghavan; Dauskardt	2/5/2021
RX-0231		DUPONT1204_0031546	DUPONT1204_0031550	Fuso Electronic Materials (Feb. 18, 2014) "2014 Fuso Brochure"	Raghavan; Dauskardt	2/5/2021
RX-0232		DUPONT1204_0031805	DUPONT1204_0031853	Japanese Patent Application Publication 2007-273910 (and English translation)	Raghavan	2/5/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0233		DUPONT1204_00059400	DUPONT1204_00059402	Wei-Tsu Tseng & Ying-Lang Wang, <i>Re-examination of Pressure and Speed Dependences of Removal Rate during Chemical-Mechanical Polishing Processes</i> , 144 (2) J. of the Electrochemical Society L15-17 (1997)	Raghavan	2/5/2021
RX-0234				WITHDRAWN		
RX-0235				WITHDRAWN		
RX-0236		DUPONT1204_00006739	DUPONT1204_00006945	DTI Operating Manual	Miller; Dauskardt; Lowry	2/4/2021
RX-0237	CBI	DUPONT1204_00059195	DUPONT1204_00059206	BSP-140 Safety Data Sheet	Lowry; Jacobs	2/4/2021
RX-0238				WITHDRAWN		
RX-0239	CBI	CMC00014577	CMC00014579	D922X Properties	Klein	2/4/2021
RX-0240				WITHDRAWN		
RX-0241				WITHDRAWN		
RX-0242	CBI	CMC00016393	CMC00016430	Transfer Package for Presentation	Klein	2/4/2021
RX-0243				WITHDRAWN		
RX-0244				WITHDRAWN		
RX-0245				WITHDRAWN		
RX-0246	CBI	CMC00040275	CMC00040275	Aug. 2, 2013, E. Shen email re Quantification of amine/TMAH in supernatants & trapped in particles	Klein	2/4/2021
RX-0247	CBI	CMC00046779	CMC00046790	Quantification of Residual Catalysts in Silica Particles Presentation	Klein	2/4/2021
RX-0248		DUPONT1204_00000051	DUPONT1204_00000086	U.S. Pat. No. 8,529,787	Klein; Raghavan	2/4/2021
RX-0249		DUPONT1204_0030748	DUPONT1204_0030917	Eur. Pat. App. 15811989.1 File History	Klein	2/4/2021
RX-0250	CBI			Complainant's Objections and Responses to Respondents' First Set of Requests for Admission (Nos. 1-186) dated September 28, 2020	Klein; Admission wo Sponsoring Witness	2/4/2021
RX-0251	CBI			Complainant's Objections and Responses to Respondents' Second Set of Requests for Admission (Nos. 187-327) dated October 23, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0252				WITHDRAWN		
RX-0253				WITHDRAWN		
RX-0254	CBI	CMC00003134	CMC00003149	Particle Types and Properties Presentation	Miller	2/5/2021
RX-0255				WITHDRAWN		
RX-0256				WITHDRAWN		
RX-0257				WITHDRAWN		
RX-0258				WITHDRAWN		
RX-0259				WITHDRAWN		
RX-0260				WITHDRAWN		
RX-0261				WITHDRAWN		
RX-0262				WITHDRAWN		
RX-0263				WITHDRAWN		
RX-0264				WITHDRAWN		
RX-0265				WITHDRAWN		
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RX-0269				WITHDRAWN		
RX-0270				WITHDRAWN		
RX-0271				WITHDRAWN		
RX-0272				WITHDRAWN		
RX-0273				WITHDRAWN		
RX-0274		DUPONT1204_00056404	DUPONT1204_00056421	U.S. Pat. No. 6,449,563	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0275				WITHDRAWN		
RX-0276				WITHDRAWN		
RX-0277				WITHDRAWN		
RX-0278				WITHDRAWN		
RX-0279				WITHDRAWN		
RX-0280				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0281				WITHDRAWN		
RX-0282				WITHDRAWN		
RX-0283		DUPONT1204_00056993	DUPONT1204_00057585	Andrei S. Dukhin & Philip J. Goetz, Characterization of Liquids, Dispersions, Emulsions, and Porous Materials Using Ultrasound (Elsevier, 3d ed. 2017).	Miller	2/5/2021
RX-0284		DUPONT1204_00057586	DUPONT1204_00057591	Marek Kosmulski, <i>A literature survey of the differences between the reported isoelectric points and their discussion</i> , 222 Colloids and Surfaces A: Physicochemical Engineering Aspects 113-18 (2003)	Miller	2/5/2021
RX-0285				WITHDRAWN		
RX-0286		DUPONT1204_00057638	DUPONT1204_00057651	U.S. Pat. No. 6,109,098	Dukhin; Admission wo Sponsoring Witness	2/4/2021
RX-0287				WITHDRAWN		
RX-0288				WITHDRAWN		
RX-0289		DUPONT1204_00057685	DUPONT1204_00057696	V.N. Shilov, <i>Electoacoustic theory for concentrated colloids with overlapped DLs at arbitrary ka, I. Application to nanocolloids and nonaqueous colloids</i> , 277 J. of Colloid and Interface Science 347-58 (2004)	Miller	2/5/2021
RX-0290				WITHDRAWN		
RX-0291				WITHDRAWN		
RX-0292				WITHDRAWN		
RX-0293				WITHDRAWN		
RX-0294				WITHDRAWN		
RX-0295				WITHDRAWN		
RX-0296				WITHDRAWN		
RX-0297				WITHDRAWN		
RX-0298				WITHDRAWN		
RX-0299				WITHDRAWN		
RX-0300				WITHDRAWN		
RX-0301				WITHDRAWN		
RX-0302				WITHDRAWN		
RX-0303				WITHDRAWN		
RX-0304		DUPONT1204_00058677	DUPONT1204_00058991	Duncan J. Shaw, Introduction to Colloid and Surface Chemistry (4th ed. 1992)	Miller	2/5/2021
RX-0305		DUPONT1204_00058992	DUPONT1204_00059023	Particle World, Technical Papers of QUANTACHROME (5th ed. 2012)	Miller	2/5/2021
RX-0306				WITHDRAWN		
RX-0307				WITHDRAWN		
RX-0308				WITHDRAWN		
RX-0309				WITHDRAWN		
RX-0310				WITHDRAWN		
RX-0311				WITHDRAWN		
RX-0312				WITHDRAWN		
RX-0313		DUPONT1204_00059219	DUPONT1204_00059237	EUNCL-PCC-002: Measuring Zeta Potential SOP	Miller	2/5/2021
RX-0314				WITHDRAWN		
RX-0315		DUPONT1204_00059253	DUPONT1204_00059267	G. Roebben et al., <i>Interlaboratory comparison of size and surface charge measurements on nanoparticles prior to biological impact assessment</i> , 13(7) J. of Nanoparticle Research 2675-87 (2011)	Miller	2/5/2021
RX-0316				WITHDRAWN		
RX-0317				WITHDRAWN		
RX-0318				WITHDRAWN		
RX-0319				WITHDRAWN		
RX-0320				WITHDRAWN		
RX-0321				WITHDRAWN		
RX-0322				WITHDRAWN		
RX-0323				WITHDRAWN		
RX-0324				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0325		STAFF000001	STAFF000135	Thomas Luxbacher, The ZETA Guide (2014)	Miller	2/5/2021
RX-0326				WITHDRAWN		
RX-0327				WITHDRAWN		
RX-0328				WITHDRAWN		
RX-0329				WITHDRAWN		
RX-0330				WITHDRAWN		
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RX-0334				WITHDRAWN		
RX-0335				WITHDRAWN		
RX-0336				WITHDRAWN		
RX-0337				WITHDRAWN		
RX-0338	CBI	CMC00003808	CMC00003817	Fuso Silica Abrasive Development Roadmap	Raghavan	2/5/2021
RX-0339				WITHDRAWN		
RX-0340				WITHDRAWN		
RX-0341	CBI	CMC00016796	CMC00016817	Fuso Presentation on Samples	Raghavan	2/5/2021
RX-0342				WITHDRAWN		
RX-0343				WITHDRAWN		
RX-0344				WITHDRAWN		
RX-0345				WITHDRAWN		
RX-0346				WITHDRAWN		
RX-0347	CBI	CMC00024089	CMC00024091	Amendment No. 3 to First Amended and Restated Supply Agreement	Raghavan; Herrington	2/4/2021
RX-0348				WITHDRAWN		
RX-0349				WITHDRAWN		
RX-0350				WITHDRAWN		
RX-0351				WITHDRAWN		
RX-0352	CBI	CMC00034536	CMC00034555	Epic CS120 & iDiel D9225 Presentation	Raghavan	2/5/2021
RX-0353	CBI	CMC00037557	CMC00037557	Apr. 8, 2017, J. Dysard email chain re D9228	Raghavan	2/5/2021
RX-0354				WITHDRAWN		
RX-0355				WITHDRAWN		
RX-0356				WITHDRAWN		
RX-0357				WITHDRAWN		
RX-0358	CBI	CMC00088613	CMC00088619	A. Haerle email string re D922x Update	Raghavan	2/5/2021
RX-0359	CBI	CMC00116791	CMC00116794	S. Wolff email string	Raghavan	2/5/2021
RX-0360				WITHDRAWN		
RX-0361				WITHDRAWN		
RX-0362				WITHDRAWN		
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RX-0375				WITHDRAWN		
RX-0376				WITHDRAWN		
RX-0377	CBI	DUPONT1204_00006409	DUPONT1204_00006413	Particle Shipment Details	Raghavan	2/5/2021
RX-0378		DUPONT1204_00006420	DUPONT1204_00006428	U.S. Pat. No. 9,783,702	Mosley; Admission wo Sponsoring Witness	2/5/2021

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Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0379	CBI	DUPONT1204_00006441	DUPONT1204_00006445	Particle Shipment Details	Raghavan	2/5/2021
RX-0380				WITHDRAWN		
RX-0381				WITHDRAWN		
RX-0382				WITHDRAWN		
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RX-0387				WITHDRAWN		
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RX-0391				WITHDRAWN		
RX-0392				WITHDRAWN		
RX-0393				WITHDRAWN		
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RX-0409				WITHDRAWN		
RX-0410		DUPONT1204_00018657	DUPONT1204_00018679	U.S. Pat. No. 9,803,106	Raghavan; Klein	2/4/2021
RX-0411				WITHDRAWN		
RX-0412				WITHDRAWN		
RX-0413				WITHDRAWN		
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RX-0433				WITHDRAWN		

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Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0434				WITHDRAWN		
RX-0435				WITHDRAWN		
RX-0436				WITHDRAWN		
RX-0437				WITHDRAWN		
RX-0438				WITHDRAWN		
RX-0439				WITHDRAWN		
RX-0440				WITHDRAWN		
RX-0441	CBI	DUPONT1204_00054699	DUPONT1204_00054701	Mar. 18, 2013, J. Tomita email string re price table	Raghavan; Chu; Admission w/o Sponsoring Witness	2/5/2021
RX-0442				WITHDRAWN		
RX-0443	CBI	DUPONT1204_00054742	DUPONT1204_00054742	Nov. 7, 2012, Y. Guo email string re Fuso presentation	Raghavan; Chu; Admission w/o Sponsoring Witness; Dauskardt	2/5/2021
RX-0444				WITHDRAWN		
RX-0445				WITHDRAWN		
RX-0446	CBI	DUPONT1204_00054778	DUPONT1204_00054781	Apr. 22, 2013, J. Chu email string re sample schedule	Raghavan; Chu; Admission w/o Sponsoring Witness	2/5/2021
RX-0447				WITHDRAWN		
RX-0448				WITHDRAWN		
RX-0449				WITHDRAWN		
RX-0450				WITHDRAWN		
RX-0451				WITHDRAWN		
RX-0452				WITHDRAWN		
RX-0453				WITHDRAWN		
RX-0454				WITHDRAWN		
RX-0455				WITHDRAWN		
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RX-0458				WITHDRAWN		
RX-0459				WITHDRAWN		
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RX-0477				WITHDRAWN		
RX-0478				WITHDRAWN		
RX-0479				WITHDRAWN		
RX-0480				WITHDRAWN		
RX-0481				WITHDRAWN		
RX-0482				WITHDRAWN		
RX-0483	CBI	DUPONT1204_0022326	DUPONT1204_0022330	OPTIPLANE 2300 Invoices, Packing Lists, and Waybill	Jacobs	2/4/2021
RX-0484				WITHDRAWN		
RX-0485	CBI	DUPONT1204_0027065	DUPONT1204_0027084	OPTIPLANE 2602 Slurry Presentation	Raghavan	2/5/2021

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RX-0486				WITHDRAWN		
RX-0487				WITHDRAWN		
RX-0488				WITHDRAWN		
RX-0489				WITHDRAWN		
RX-0490				WITHDRAWN		
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RX-0494				WITHDRAWN		
RX-0495				WITHDRAWN		
RX-0496				WITHDRAWN		
RX-0497				WITHDRAWN		
RX-0498				WITHDRAWN		
RX-0499				WITHDRAWN		
RX-0500	CBI	CMC00024092	CMC00024093	Amendment No. 4 to First Amended and Restated Supply Agreement (July 1, 2015)	Herrington	2/4/2021
RX-0501	CBI	CMC00024094	CMC00024099	Amendment No. 5 to First Amended and Restated Supply Agreement (July 22, 2016)	Herrington	2/4/2021
RX-0502	CBI	CMC00024100	CMC00024101	Amendment No. 6 to First Amended and Restated Supply Agreement (May 31, 2017)	Herrington	2/4/2021
RX-0503	CBI	CMC00024244	CMC00024272	Industry and Business Review September Meeting FY18 Presentation	Herrington	2/4/2021
RX-0504				WITHDRAWN		
RX-0505				WITHDRAWN		
RX-0506				WITHDRAWN		
RX-0507				WITHDRAWN		
RX-0508				WITHDRAWN		
RX-0509				WITHDRAWN		
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RX-0518				WITHDRAWN		
RX-0519				WITHDRAWN		
RX-0520				WITHDRAWN		
RX-0521				WITHDRAWN		
RX-0522	CBI	CMC00042542	CMC00042601	Fuso High Purity Electronic Materials Presentation	Klein	2/4/2021
RX-0523	CBI	CMC00046232	CMC00046241	The Effect of Different Silanes on the Polishing Performance and Stability of Acidic Colloidal Silica Particles Presentation	Klein	2/4/2021
RX-0524				WITHDRAWN		
RX-0525				WITHDRAWN		
RX-0526				WITHDRAWN		
RX-0527	CBI	DUPONT1204_00019813	DUPONT1204_00019813	IEP Data Spreadsheet	Klein; Miller	2/4/2021
RX-0528	CBI	DUPONT1204_00020211	DUPONT1204_00020231	Fuso High Purity Electronic Materials Presentation	Klein	2/4/2021
RX-0529				WITHDRAWN		
RX-0530		DUPONT1204_0029059	DUPONT1204_0029069	U.S. Pat. App. Pub. No. 2015/0267081	Klein; Dauskardt	2/4/2021
RX-0531				Whitesides, et al., <i>Structure and Reactivity of Alkylsiloxane Monolayers Formed by Reaction of Alkyltrichlorosilanes on Silicon Substrates</i> , 5 Langmuir 1074-87 (1989)	Klein	2/4/2021
RX-0532	CBI	DUPONT1204_00014401	DUPONT1204_00014417	Oxide Planarization Slurries Presentation	Miller	2/5/2021
RX-0533				WITHDRAWN		
RX-0534				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0535				WITHDRAWN		
RX-0536				WITHDRAWN		
RX-0537				WITHDRAWN		
RX-0538				WITHDRAWN		
RX-0539				WITHDRAWN		
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RX-0541				WITHDRAWN		
RX-0542				WITHDRAWN		
RX-0543				WITHDRAWN		
RX-0544				WITHDRAWN		
RX-0545				WITHDRAWN		
RX-0546				WITHDRAWN		
RX-0547	DUPONT1204_0029079	DUPONT1204_0029129		JP 2007273910 (English)	Miller	2/5/2021
RX-0548				WITHDRAWN		
RX-0549				WITHDRAWN		
RX-0550				WITHDRAWN		
RX-0551				WITHDRAWN		
RX-0552				WITHDRAWN		
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RX-0569				WITHDRAWN		
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RX-0584				WITHDRAWN		
RX-0585				WITHDRAWN		
RX-0586				WITHDRAWN		
RX-0587				WITHDRAWN		
RX-0588				WITHDRAWN		
RX-0589				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0590				WITHDRAWN		
RX-0591				WITHDRAWN		
RX-0592				WITHDRAWN		
RX-0593				WITHDRAWN		
RX-0594				WITHDRAWN		
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RX-0596				WITHDRAWN		
RX-0597				WITHDRAWN		
RX-0598				WITHDRAWN		
RX-0599				WITHDRAWN		
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RX-0639				WITHDRAWN		
RX-0640				WITHDRAWN		
RX-0641				WITHDRAWN		
RX-0642				WITHDRAWN		
RX-0643				WITHDRAWN		
RX-0644				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0645				WITHDRAWN		
RX-0646				WITHDRAWN		
RX-0647				WITHDRAWN		
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RX-0666				WITHDRAWN		
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RX-0668				WITHDRAWN		
RX-0669				WITHDRAWN		
RX-0670				WITHDRAWN		
RX-0671				WITHDRAWN		
RX-0672	CBI			Deposition Transcript of Mary Cavanaugh DeLaurentis dated October 23, 2020	Cavanaugh; Admission wo Sponsoring Witness; Klein; Herrington	2/4/2021
RX-0673				WITHDRAWN		
RX-0674	CBI			Deposition Transcript of Dr. Andrei Dukhin (DTI - Expert) dated December 4, 2020	Dauskardt	Rejected
RX-0675				WITHDRAWN		
RX-0676				WITHDRAWN		
RX-0677	CBI			Deposition Transcript of Dr. Jeffrey Dysard dated October 6, 2020	Klein; Admission wo Sponsoring Witness; Dysard	2/4/2021
RX-0678	CBI			Deposition Transcript of Dr. Steven Grumbine dated October 21, 2020	Grumbine; Admission wo Sponsoring Witness; Klein	2/4/2021
RX-0679	CBI			Deposition Transcript of Samuel Hinrichsen dated October 20, 2020	Hinrichsen; Admission wo Sponsoring Witness	2/4/2021
RX-0680	CBI			Deposition Transcript of Thomas Omholt dated Octoebr 15, 2020	Omholt; Admission wo Sponsoring Witness	2/4/2021
RX-0681				WITHDRAWN		
RX-0682	CBI			Deposition Transcript of Dr. Daniel D. Woodland dated October 14, 2020	Woodland; Admission wo Sponsoring Witness; Herrington	2/4/2021
RX-0683				WITHDRAWN		
RX-0684				WITHDRAWN		
RX-0685				WITHDRAWN		
RX-0686				WITHDRAWN		
RX-0687				WITHDRAWN		
RX-0688				WITHDRAWN		
RX-0689				WITHDRAWN		
RX-0690				WITHDRAWN		
RX-0691				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0692				WITHDRAWN		
RX-0693				WITHDRAWN		
RX-0694				WITHDRAWN		
RX-0695				WITHDRAWN		
RX-0696				WITHDRAWN		
RX-0697				WITHDRAWN		
RX-0698				WITHDRAWN		
RX-0699				WITHDRAWN		
RX-0700				WITHDRAWN		
RX-0701				WITHDRAWN		
RX-0702				WITHDRAWN		
RX-0703				WITHDRAWN		
RX-0704				WITHDRAWN		
RX-0705				WITHDRAWN		
RX-0706				WITHDRAWN		
RX-0707				WITHDRAWN		
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RX-0731				WITHDRAWN		
RX-0732				WITHDRAWN		
RX-0733				WITHDRAWN		
RX-0734				WITHDRAWN		
RX-0735				WITHDRAWN		
RX-0736				WITHDRAWN		
RX-0737	CBI	DUPONT1204_00006408	DUPONT1204_00006408	Material Produced and returned due to manufacturing defect spreadsheet	Jacobs	2/4/2021
RX-0738				WITHDRAWN		
RX-0739				WITHDRAWN		
RX-0740				WITHDRAWN		
RX-0741				WITHDRAWN		
RX-0742				WITHDRAWN		
RX-0743				WITHDRAWN		
RX-0744				WITHDRAWN		
RX-0745				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0746				WITHDRAWN		
RX-0747				WITHDRAWN		
RX-0748				WITHDRAWN		
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RX-0799				WITHDRAWN		
RX-0800				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0801				WITHDRAWN		
RX-0802				WITHDRAWN		
RX-0803				WITHDRAWN		
RX-0804				WITHDRAWN		
RX-0805				WITHDRAWN		
RX-0806				WITHDRAWN		
RX-0807				WITHDRAWN		
RX-0808				WITHDRAWN		
RX-0809				WITHDRAWN		
RX-0810	CBI	DUPONT1204_00059755	DUPONT1204_00059757	OPTIPLANE 2300 Purchase Order	Jacobs	2/4/2021
RX-0811	CBI	DUPONT1204_00059758	DUPONT1204_00059760	OPTIPLANE 2300 Purchase Order	Jacobs	2/4/2021
RX-0812				WITHDRAWN		
RX-0813				WITHDRAWN		
RX-0814				WITHDRAWN		
RX-0815				WITHDRAWN		
RX-0816				WITHDRAWN		
RX-0817				WITHDRAWN		
RX-0818				WITHDRAWN		
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RX-0823				WITHDRAWN		
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RX-0826				WITHDRAWN		
RX-0827				WITHDRAWN		
RX-0828				WITHDRAWN		
RX-0829				WITHDRAWN		
RX-0830	CBI			CMC's Responses to Respondents First Set of Interrogatories (Nos. 1-44) dated July 20, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0831	CBI			CMC's Responses to Respondents Second Set of Interrogatories (Nos. 45-52) dated July 20, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0832	CBI			CMC's Responses to DuPont's Third Set of ROGs (Nos. 53-59) dated August 6, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0833	CBI			CMC's First Supp Responses to First Set of ROGs dated August 18, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0834	CBI			CMC's First Supp Responses to Second Set of ROGs dated August 18, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0835	CBI			CMC's First Supp Responses to Third Set of ROGs dated August 18, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0836				CMCs Responses to DuPont's Fourth Set of ROGs (Nos. 60-66) dated August 24, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0837	CBI			CMC's 2nd Supp Responses to Respondents' 1st Set of ROGs dated August 26, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0838	CBI			CMC's 2nd Supp Responses to Respondents' 2nd Set of ROGs dated August 26, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0839	CBI			CMC's 1st Supp Responses to Respondents' Fourth Set of ROGs dated August 28, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0840	CBI			CMC's 3rd Supp Responses to Respondents' First Set of ROGs dated August 28, 2020	Admission wo Sponsoring Witness; Klein	2/4/2021
RX-0841	CBI			CMC's 3rd Supp Responses to Respondents' Second Set of ROGs dated August 28, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0842	CBI			CMC's Corrected RSP to Respondents Fifth Set of Interrogatories to Cabot (Nos. 67-72) dated September 2, 2020	Admission wo Sponsoring Witness	2/4/2021

Respondents' Admitted Documentary Exhibit List

February 19, 2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0843				CMC's Responses to Respondent's Sixth Set of ROGs (73-77) dated September 8, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0844	CBI			CMC's Fourth Supp Response to Respondent's First Set of ROGs (1-44) dated September 11, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0845	CBI			CMC's Second Supp Response to Respondent's Fourth Set of ROGs (60-66) dated September 11, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0846	CBI			CMC's Second Supp Response to Respondent's Third Set of ROGs (53-59) dated September 11, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0847	CBI			CMC's 3rd Supp Responses to Respondents' Third Set of ROGs dated September 18, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0848	CBI			CMC's 5th Supp Responses to Respondents' First Set of ROGs dated September 18, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0849	CBI			CMC's Responses to DuPont's 7th Set of ROGs (Nos. 78-84) dated October 5, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0850	CBI			CMC's Fourth Supp Responses to Respondents' Second Set of ROGs (Nos. 45-52) dated October 9, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0851	CBI			CMC's Responses to Respondents' Eighth Set of ROGs (Nos. 85-97) dated October 9, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0852	CBI			CMC's Third Supp Responses to Respondents' Fourth Set of ROGs (Nos. 60-64) dated October 9, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0853				WITHDRAWN		
RX-0854				WITHDRAWN		
RX-0855				WITHDRAWN		
RX-0856				WITHDRAWN		
RX-0857				WITHDRAWN		
RX-0858	CBI			CMC's Amended Sixth Supplemental Response to Respondents' First Set of ROGs (Nos. 1-44) dated October 11, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0859	CBI			CMC's Seventh Supp Responses to Respondent's First Set of ROGs (Nos. 1-44) dated October 14, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0860	CBI			CMC's Eighth Supp Responses to DuPont's First Set of ROGs (Nos. 1-44) dated October 16, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0861	CBI			CMC's Eighth Supp Responses to DuPont's First Set of ROGs (Nos. 1-44) - Ex. D (Disclosure of Final Rebuttal Contentions to Invalidity) dated October 16, 2020	Admission wo Sponsoring Witness; Klein	2/4/2021
RX-0862				WITHDRAWN		
RX-0863				WITHDRAWN		
RX-0864	CBI			CMC's Fourth Supp Response to DuPont's Third Set of ROGs (Nos. 53-59) dated October 23, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0865				WITHDRAWN		
RX-0866	CBI			CMC's First Supp Response to DuPont's Seventh Set of ROGs (Nos. 78-84) dated October 23, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0867	CBI			CMC's Supp Response to DuPont's Eighth Set of ROGs (Nos. 85-97) dated October 23, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0868	CBI			CMC's Response to DuPont's Ninth Set of ROGs (Nos. 98-103) dated October 23, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0869	CBI			CMC's Responses and Objections to Commission Investigative Staff's First Set of Interrogatories (Nos. 1-10) dated October 23, 2020	Admission wo Sponsoring Witness	2/4/2021
RX-0870				WITHDRAWN		
RX-0871				WITHDRAWN		
RX-0872				WITHDRAWN		
RX-0873				WITHDRAWN		
RX-0874				WITHDRAWN		
RX-0875				WITHDRAWN		
RX-0876				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0877	CBI	CMC00127539	CMC00127546	Work Instruction DT-1202 Acoustic Spectrometer: Operation and Maintenance	Dauskardt	2/5/2021
RX-0878				WITHDRAWN		
RX-0879				WITHDRAWN		
RX-0880				WITHDRAWN		
RX-0881				WITHDRAWN		
RX-0882				WITHDRAWN		
RX-0883				WITHDRAWN		
RX-0884				WITHDRAWN		
RX-0885	CBI	CMC00000145	CMC00000155	Complaint of Cabot Microelectronics Corporation Under Section 337 of the Tariff Act of 1930 - Exhibit 5 (Declaration of Dr. Daniel D. Woodland regarding Domestic Industry) dated June 1, 2020	Woodland; Admission w/o Sponsoring Witness	2/4/2021
RX-0886				WITHDRAWN		
RX-0887				WITHDRAWN		
RX-0888				WITHDRAWN		
RX-0889				WITHDRAWN		
RX-0890				WITHDRAWN		
RX-0891				WITHDRAWN		
RX-0892				WITHDRAWN		
RX-0893				WITHDRAWN		
RX-0894				WITHDRAWN		
RX-0895				WITHDRAWN		
RX-0896				WITHDRAWN		
RX-0897				WITHDRAWN		
RX-0898				WITHDRAWN		
RX-0899				WITHDRAWN		
RX-0900				WITHDRAWN		
RX-0901				WITHDRAWN		
RX-0902		CMC00000269	CMC00000277	Complaint of Cabot Microelectronics Corporation Under Section 337 of the Tariff Act of 1930 - Exhibit 22 (U.S. Patent No. 10,037,889) dated June 1, 2020	Miller	2/5/2021
RX-0903				WITHDRAWN		
RX-0904				WITHDRAWN		
RX-0905				WITHDRAWN		
RX-0906				WITHDRAWN		
RX-0907				WITHDRAWN		
RX-0908				WITHDRAWN		
RX-0909				WITHDRAWN		
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RX-0921				WITHDRAWN		
RX-0922				WITHDRAWN		
RX-0923				WITHDRAWN		
RX-0924				WITHDRAWN		
RX-0925				WITHDRAWN		
RX-0926				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0927				WITHDRAWN		
RX-0928				WITHDRAWN		
RX-0929				WITHDRAWN		
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RX-0931				WITHDRAWN		
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RX-0972				WITHDRAWN		
RX-0973				WITHDRAWN		
RX-0974				WITHDRAWN		
RX-0975				WITHDRAWN		
RX-0976				WITHDRAWN		
RX-0977				WITHDRAWN		
RX-0978				WITHDRAWN		
RX-0979				WITHDRAWN		
RX-0980				WITHDRAWN		
RX-0981				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-0982				WITHDRAWN		
RX-0983				WITHDRAWN		
RX-0984				WITHDRAWN		
RX-0985				WITHDRAWN		
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RX-0988				WITHDRAWN		
RX-0989				WITHDRAWN		
RX-0990				WITHDRAWN		
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RX-1008				WITHDRAWN		
RX-1009				WITHDRAWN		
RX-1010				WITHDRAWN		
RX-1011				WITHDRAWN		
RX-1012				WITHDRAWN		
RX-1013				Initial Expert Report of Dr. Gregory Lowry - Exhibit 1 dated November 2, 2020	Lowry	2/4/2021
RX-1014				Initial Expert Report of Dr. Gregory Lowry - Exhibit 2 dated November 2, 2020	Lowry	2/4/2021
RX-1015	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 3 dated November 2, 2020	Lowry	2/4/2021
RX-1016	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 4 dated November 2, 2020	Lowry	2/4/2021
RX-1017	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 5 dated November 2, 2020	Lowry	2/4/2021
RX-1018	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 6 dated November 2, 2020	Lowry	2/4/2021
RX-1019	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 7 dated November 2, 2020	Lowry	2/4/2021
RX-1020	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 8 dated November 2, 2020	Lowry	2/4/2021
RX-1021	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 9 dated November 2, 2020	Lowry	2/4/2021
RX-1022	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 10 dated November 2, 2020	Lowry	2/4/2021
RX-1023	CBI			Initial Expert Report of Dr. Gregory Lowry - Exhibit 11 dated November 2, 2020	Lowry	2/4/2021
RX-1024				Initial Expert Report of Dr. Gregory Lowry - Exhibit 12 dated November 2, 2020	Lowry; Dauskardt	2/4/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-1025				WITHDRAWN		
RX-1026				WITHDRAWN		
RX-1027				Initial Expert Report of Dr. John Miller Regarding U.S. Patent No. 9,499,721 - Exhibit 2 dated November 2, 2020	Miller	2/5/2021
RX-1028				WITHDRAWN		
RX-1029				WITHDRAWN		
RX-1030				Initial Expert Report of Dr. Lisa C. Klein Regarding Nitrogen Testing - Exhibit 2 dated November 2, 2020	Klein	2/4/2021
RX-1031				WITHDRAWN		
RX-1032				WITHDRAWN		
RX-1033				WITHDRAWN		
RX-1034				WITHDRAWN		
RX-1035	CBI			Initial Expert Report of Dr. Lisa C. Klein Regarding U.S. Patent No. 9,499,721 - Appendix A dated November 2, 2020	Klein	2/4/2021
RX-1036				WITHDRAWN		
RX-1037				WITHDRAWN		
RX-1038				WITHDRAWN		
RX-1039				Initial Expert Report of Dr. Srini Raghavan - Appendix A (Raghavan CV) dated November 2, 2020	Raghavan	2/5/2021
RX-1040				WITHDRAWN		
RX-1041				WITHDRAWN		
RX-1042				WITHDRAWN		
RX-1043				WITHDRAWN		
RX-1044				WITHDRAWN		
RX-1045				Amended Joint Claim Construction Chart dated November 17, 2020	Raghavan	2/5/2021
RX-1046				WITHDRAWN		
RX-1047				WITHDRAWN		
RX-1048				WITHDRAWN		
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RX-1069				WITHDRAWN		
RX-1070				WITHDRAWN		
RX-1071				WITHDRAWN		
RX-1072	CBI			Direct Witness Statement of Kevin Jacobs	Jacobs	2/4/2021
RX-1073	CBI			Direct Witness Statement of Dr. Lisa C. Klein	Klein	2/4/2021
RX-1074	CBI			Direct Witness Statement of Dr. Gregory Lowry	Lowry	2/4/2021
RX-1075	CBI			Direct Witness Statement of Dr. John Miller	Miller	2/4/2021

Respondents' Admitted Documentary Exhibit List

February 19, 2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-1076	CBI			Direct Witness Statement of Dr. Sriniv Raghavan	Raghavan	2/4/2021
RX-1077				WITHDRAWN		
RX-1078				WITHDRAWN		
RX-1079				WITHDRAWN		
RX-1080	CBI	DUPONT1204 00061149	DUPONT1204 00061149	Photograph #1 of BSP 140 Container	Jacobs	2/4/2021
RX-1081	CBI	DUPONT1204 00061150	DUPONT1204 00061150	Photograph #1 of STI-120 Container	Jacobs	2/4/2021
RX-1082	CBI	DUPONT1204 00061151	DUPONT1204 00061151	Photograph #1 of HL-3 Container	Jacobs	2/4/2021
RX-1083	CBI	DUPONT1204 00061152	DUPONT1204 00061152	Photograph #1 of STI-210B Container	Jacobs	2/4/2021
RX-1084	CBI	DUPONT1204 00061153	DUPONT1204 00061153	Photograph of Shipment Box to Central Chemical Consulting (slurries)	Jacobs	2/4/2021
RX-1085	CBI	DUPONT1204 00061154	DUPONT1204 00061154	Photograph #2 of STI-210B Container	Jacobs	2/4/2021
RX-1086	CBI	DUPONT1204 00061155	DUPONT1204 00061155	Photograph #1 of STI-210A Container	Jacobs	2/4/2021
RX-1087	CBI	DUPONT1204 00061156	DUPONT1204 00061156	Photograph #2 of BSP-140 Container	Jacobs	2/4/2021
RX-1088	CBI	DUPONT1204 00061157	DUPONT1204 00061157	Photograph of Shipment Box to Central Chemical Consulting (particles)	Jacobs	2/4/2021
RX-1089	CBI	DUPONT1204 00061158	DUPONT1204 00061158	Photograph #1 of STI-110 Container	Jacobs	2/4/2021
RX-1090	CBI	DUPONT1204 00061159	DUPONT1204 00061159	Photograph #2 of STI-210A Container	Jacobs	2/4/2021
RX-1091	CBI	DUPONT1204 00061160	DUPONT1204 00061160	Photograph #2 of STI-120 Container	Jacobs	2/4/2021
RX-1092	CBI	DUPONT1204 00061161	DUPONT1204 00061161	Photograph #2 of STI-110 Container	Jacobs	2/4/2021
RX-1093	CBI	DUPONT1204 00061162	DUPONT1204 00061162	Photograph #2 of HL-3 Container	Jacobs	2/4/2021
RX-1094				WITHDRAWN		
RX-1095	CBI	DUPONT1204 00059781	DUPONT1204 00059781	STI-110 Certificate of Analysis	Jacobs	2/4/2021
RX-1096	CBI	DUPONT1204 00059777	DUPONT1204 00059777	STI-120 Certificate of Analysis	Jacobs	2/4/2021
RX-1097	CBI	DUPONT1204 00059778	DUPONT1204 00059778	STI-210A Certificate of Analysis	Jacobs	2/4/2021
RX-1098	CBI	DUPONT1204 00059780	DUPONT1204 00059780	STI-210B Certificate of Analysis	Jacobs	2/4/2021
RX-1099	CBI	DUPONT1204 00059779	DUPONT1204 00059779	BSP-140 Certificate of Analysis	Jacobs	2/4/2021
RX-1100	CBI	DUPONT1204 00056071	DUPONT1204 00056071	HL-3 Certificate of Analysis	Jacobs	2/4/2021
RX-1101	CBI	DUPONT1204 00059156	DUPONT1204 00059165	STI-110 Safety Data Sheet	Jacobs	2/4/2021
RX-1102	CBI	DUPONT1204 00059207	DUPONT1204 00059218	STI-120 Safety Data Sheet	Jacobs	2/4/2021
RX-1103	CBI	DUPONT1204 00059166	DUPONT1204 00059175	STI-210A Safety Data Sheet	Jacobs	2/4/2021
RX-1104	CBI	DUPONT1204 00059186	DUPONT1204 00059194	STI-210B Safety Data Sheet	Jacobs	2/4/2021
RX-1105				WITHDRAWN		
RX-1106	CBI	DUPONT1204 00059176	DUPONT1204 00059185	HL-3 Safety Data Sheet	Jacobs	2/4/2021
RX-1107	CBI	DUPONT1204 00056079	DUPONT1204 00056079	Photograph of Fuso HL-3 Container	Jacobs	2/4/2021
RX-1108	CBI	DUPONT1204 00056072	DUPONT1204 00056072	DHL Tracking to Central Chemical Consulting	Jacobs	2/4/2021
RX-1109				WITHDRAWN		
RX-1110				WITHDRAWN		
RX-1111				WITHDRAWN		
RX-1112				WITHDRAWN		
RX-1113				WITHDRAWN		
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RX-1119				WITHDRAWN		
RX-1120				WITHDRAWN		
RX-1121				WITHDRAWN		
RX-1122				WITHDRAWN		
RX-1123				WITHDRAWN		
RX-1124				WITHDRAWN		
RX-1125				WITHDRAWN		
RX-1126				WITHDRAWN		
RX-1127				WITHDRAWN		
RX-1128				WITHDRAWN		
RX-1129	CBI	DUPONT1204 00059763	DUPONT1204 00059766	Purchase Order 4240145871 for return of Optiplane 2300	Jacobs	2/4/2021
RX-1130	CBI	DUPONT1204 00059767	DUPONT1204 00059770	Purchase Order 4240139915 for return of Optiplane 2300	Jacobs	2/4/2021

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-1131				WITHDRAWN		
RX-1132				WITHDRAWN		
RX-1133				WITHDRAWN		
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RX-1139				WITHDRAWN		
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RX-1141				WITHDRAWN		
RX-1142				WITHDRAWN		
RX-1143				WITHDRAWN		
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RX-1177				WITHDRAWN		
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RX-1183				WITHDRAWN		
RX-1184				WITHDRAWN		
RX-1185				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
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RX-1197				WITHDRAWN		
RX-1198				WITHDRAWN		
RX-1199				WITHDRAWN		
RX-1200				WITHDRAWN		
RX-1201				WITHDRAWN		
RX-1202				WITHDRAWN		
RX-1203				WITHDRAWN		
RX-1204		DUPONT1204_00057853	DUPONT1204_00057859	Robert J. Hunter, Zeta Potential in Colloid Science: Principles and Applications, Colloid Science: A Series of Monographs (1981)	Miller	2/5/2021
RX-1205				WITHDRAWN		
RX-1206				WITHDRAWN		
RX-1207				WITHDRAWN		
RX-1208				WITHDRAWN		
RX-1209				WITHDRAWN		
RX-1210		DUPONT1204_00058577	DUPONT1204_00058592	B. J. Marlow et al., <i>Colloid Vibration Potential and the Electrokinetic Characterization of Concentrated Colloids</i> , 4 Langmuir 611-26 (1988)	Miller	2/5/2021
RX-1211				WITHDRAWN		
RX-1212				WITHDRAWN		
RX-1213				WITHDRAWN		
RX-1214				WITHDRAWN		
RX-1215				WITHDRAWN		
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RX-1233				WITHDRAWN		
RX-1234				WITHDRAWN		
RX-1235				WITHDRAWN		
RX-1236				WITHDRAWN		
RX-1237				WITHDRAWN		
RX-1238				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-1239				WITHDRAWN		
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RX-1243				WITHDRAWN		
RX-1244	CBI	DUPONT1204_00054782	DUPONT1204_00054784	Feb. 3, 2013, J. Chu email re Visit in February	Chu; Admission wo Sponsoring Witness	2/5/2021
RX-1245				WITHDRAWN		
RX-1246				WITHDRAWN		
RX-1247				WITHDRAWN		
RX-1248				WITHDRAWN		
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RX-1292				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
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RX-1294				WITHDRAWN		
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RX-1303				WITHDRAWN		
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RX-1306				WITHDRAWN		
RX-1307				WITHDRAWN		
RX-1308	CBI	DUPONT1204_0022673	DUPONT1204_0022673	SL84 - OPTIPLANE 3000 Financial Model Spreadsheet	Vander Veen	2/5/2021
RX-1309				WITHDRAWN		
RX-1310				WITHDRAWN		
RX-1311				WITHDRAWN		
RX-1312				WITHDRAWN		
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RX-1337				WITHDRAWN		
RX-1338				WITHDRAWN		
RX-1339				WITHDRAWN		
RX-1340				WITHDRAWN		
RX-1341				WITHDRAWN		
RX-1342	CBI	CMC00046778	CMC00046778	Oct. 3, 2013, E. Shen email re Quantification of Residual Catalysts in Silica Particles	Klein	2/4/2021
RX-1343				WITHDRAWN		
RX-1344				WITHDRAWN		
RX-1345				WITHDRAWN		
RX-1346				WITHDRAWN		

Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
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RX-1363				WITHDRAWN		
RX-1364				WITHDRAWN		
RX-1365				WITHDRAWN		
RX-1366				WITHDRAWN		
RX-1367				U.S. Pat. No. 9,567,491	Klein	2/4/2021
RX-1368				WITHDRAWN		
RX-1369				WITHDRAWN		
RX-1370				WITHDRAWN		
RX-1371				WITHDRAWN		
RX-1372				WITHDRAWN		
RX-1373	CBI			DuPont's Tenth Supplemental Objections and Responses to Complainant's First Set of Interrogatories to Respondents (Nos. 8, 20, 24, 25, and 27-32) dated October 16, 2020	Vander Veen	2/4/2021
RX-1374				WITHDRAWN		
RX-1375				WITHDRAWN		
RX-1376				WITHDRAWN		
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RX-1384				WITHDRAWN		
RX-1385				WITHDRAWN		
RX-1386				WITHDRAWN		
RX-1387				WITHDRAWN		
RX-1388				Rebuttal Expert Report of Ryan N. Herrington - Exhibit 1 (Herrington CV) dated November 16, 2020	Herrington	2/4/2021
RX-1389				Rebuttal Expert Report of Ryan N. Herrington - Exhibit 2 (Materials Considered) dated November 16, 2020	Herrington	2/4/2021
RX-1390				WITHDRAWN		
RX-1391				WITHDRAWN		
RX-1392				WITHDRAWN		
RX-1393				WITHDRAWN		
RX-1394				WITHDRAWN		
RX-1395				WITHDRAWN		
RX-1396				WITHDRAWN		
RX-1397				WITHDRAWN		

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Exhibit No.	CBI	BegBates if applicable	EndBates if applicable	Document Description	Sponsoring Witness	Date Admitted
RX-1398	CBI			Rebuttal Witness Statement of Kevin Jacobs	Jacobs	2/4/2021
RX-1399	CBI			Rebuttal Witness Statement of Dr. Lisa C. Klein	Klein	2/4/2021
RX-1400	CBI			Rebuttal Witness Statement of Ryan Herrington	Herrington	2/4/2021
RX-1401	CBI			Rebuttal Witness Statement of Dr. John Miller	Miller	2/4/2021
RX-1402	CBI			Rebuttal Witness Statement of Dr. Srinivas Raghavan	Raghavan	2/4/2021
RX-1403				Initial Expert Report of Dr. Reinhold H. Dauskardt Regarding Infringement and Domestic Industry of U.S. Patent 9,499,721 dated November 2, 2020 - Exhibit 1 (Dauskardt CV)	Dauskardt	2/5/2021
RX-1404				WITHDRAWN		
RX-1405				WITHDRAWN		
RX-1406	CBI			Initial Expert Report of Dr. Reinhold H. Dauskardt Regarding Infringement and Domestic Industry of U.S. Patent 9,499,721 dated November 2, 2020 - Exhibit 4 (EAG Analysis Report)	Miller	2/5/2021
RX-1407	CBI			Initial Expert Report of Dr. Reinhold H. Dauskardt Regarding Infringement and Domestic Industry of U.S. Patent 9,499,721 dated November 2, 2020 - Exhibit 5 (DTI Analysis Report)	Miller	2/5/2021
RX-1408	CBI			Initial Expert Report of Dr. Reinhold H. Dauskardt Regarding Infringement and Domestic Industry of U.S. Patent 9,499,721 dated November 2, 2020 - Exhibit 6 (PTL Analysis Report I)	Miller	2/5/2021
RX-1409	CBI			Initial Expert Report of Dr. Reinhold H. Dauskardt Regarding Infringement and Domestic Industry of U.S. Patent 9,499,721 dated November 2, 2020 - Exhibit 7 (PTL Analysis Report II)	Miller	2/5/2021
RX-1410				WITHDRAWN		
RX-1411				WITHDRAWN		
RX-1412				WITHDRAWN		
RX-1413				WITHDRAWN		
RX-1414				WITHDRAWN		
RX-1415				WITHDRAWN		
RX-1416				WITHDRAWN		
RX-1417				WITHDRAWN		
RX-1418				WITHDRAWN		
RX-1419				WITHDRAWN		
RX-1420				WITHDRAWN		
RX-1421				WITHDRAWN		
RX-1422				WITHDRAWN		
RX-1423				WITHDRAWN		
RX-1424				WITHDRAWN		
RX-1425	CBI	DUPONT1204_00014841	DUPONT1204_00014856	Derivatization	Miller	2/5/2021
RX-1426	CBI	DUPONT1204_00014947	DUPONT1204_00014992	Modeling and Positive Silica Update	Miller	2/5/2021
RX-1427	CBI	DUPONT1204_00015963	DUPONT1204_00015981	DE011048 Results Discussion	Miller	2/5/2021
RX-1428	CBI			Dr. Gregory Lowry Direct Witness Statement Errata	Lowry	2/4/2021
RX-1429	CBI			Dr. John Miller Direct Witness Statement Errata	Miller	2/4/2021
RX-1430	CBI			Dr. Lisa Klein Direct Witness Statement Errata	Klein	2/4/2021
RX-1431	CBI			Dr. Srinivas Raghavan Direct Witness Statement Errata	Raghavan	2/4/2021
RX-1432	CBI			Dr. Srinivas Raghavan Rebuttal Witness Statement Errata	Raghavan	2/4/2021
RX-1433	CBI			Mr. Ryan Herrington Witness Statement Errata	Herrington	2/4/2021
RX-1434	CBI			Dr. Lisa Klein Rebuttal Witness Statement Errata	Klein	2/4/2021
RX-1435	CBI			Dr. John Miller Rebuttal Witness Statement Errata	Miller	2/4/2021
RX-1436	CBI			CMC Purchases of Particles (Demonstrative RDX-0005C)	Raghavan	2/5/2021

Exhibit No.	CBI	BegBates <i>if applicable</i>	EndBates <i>if applicable</i>	Document Description	Sponsoring Witness	Date Admitted
RDX-0001				WITHDRAWN		
RDX-0002	CBI			Dr. Lisa C. Klein Direct Demonstrative(s)	Klein	2/4/2021
RDX-0003	CBI			Dr. Gregory Lowry Direct Demonstrative(s)	Lowry	2/4/2021
RDX-0004	CBI			Dr. John Miller Direct Demonstrative(s)	Miller	2/5/2021
RDX-0005	CBI			Dr. Srini Raghavan Direct Demonstrative(s)	Raghavan	2/5/2021
RDX-0006	CBI			Ryan Herrington Rebuttal Demonstrative(s)	Herrington	2/4/2021
RDX-0007	CBI			Dr. Lisa C. Klein Rebuttal Demonstrative(s)	Klein	2/4/2021
RDX-0008	CBI			Dr. John Miller Rebuttal Demonstrative(s)	Miller	2/4/2021
RDX-0009	CBI			Respondents' Opening Slides	DuPont and Cabot Witnesses	2/4/2021
RDX-0010				WITHDRAWN		
RDX-0011				WITHDRAWN		

Exhibit No.	CBI	BegBates <i>if applicable</i>	EndBates <i>if applicable</i>	Document Description	Sponsoring Witness	Date Admitted
RPX-0001				WITHDRAWN		
RPX-0002				WITHDRAWN		
RPX-0003				WITHDRAWN		
RPX-0004				WITHDRAWN		
RPX-0005				WITHDRAWN		
RPX-0006				WITHDRAWN		

**CERTAIN CHEMICAL MECHANICAL
PLANARIZATION SLURRIES AND COMPONENTS
THEREOF**

Inv. No. 337-TA-1204

CERTIFICATE OF SERVICE

I, Isabella P. Downey, hereby certify that on February 19, 2021, copies of the foregoing were filed with and served upon the following as indicated:

<p>The Honorable Lisa R. Barton Secretary, Office of the Secretary U.S. INTERNATIONAL TRADE COMMISSION 500 E Street, S.W., Room 112-F Washington, DC 20436 (202) 205-2000</p>	<input type="checkbox"/> Via First Class Mail <input type="checkbox"/> Via Courier (FedEx) <input type="checkbox"/> Via Hand Delivery <input type="checkbox"/> Via Email (PDF File) <input checked="" type="checkbox"/> Via EDIS
<p>Pyong Yoon Attorney Advisor U.S. INTERNATIONAL TRADE COMMISSION 500 E Street, S.W. Washington, DC 20436 (202) 205-2000 Pyong.Yoon@usitc.gov Shaw337@usitc.gov</p>	<input type="checkbox"/> Via First Class Mail <input type="checkbox"/> Via Courier (FedEx) <input type="checkbox"/> Via Hand Delivery <input checked="" type="checkbox"/> Via Email (PDF File) <input type="checkbox"/> Via EDIS
<p>Thomas Chen Office of Unfair Import Investigations (OUII) U.S. INTERNATIONAL TRADE COMMISSION 500 E Street, S.W., Suite 401 Washington, DC 20436 (202) 205-2000 Thomas.Chen@usitc.gov</p>	<input type="checkbox"/> Via First Class Mail <input type="checkbox"/> Via Courier (FedEx) <input type="checkbox"/> Via Hand Delivery <input checked="" type="checkbox"/> Via Email (PDF File) <input type="checkbox"/> Via EDIS
<p>Counsel for Complainant CMC Materials Inc.</p> <p>Robert C. Scheinfeld Robert L. Maier Margaret M. Welsh Frank Zhu BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, NY 10112 Telephone: 212-408-2500</p> <p>Lisa M. Kattan Katharine M. Burke Thomas C. Martin BAKER BOTTS L.L.P. 700 K Street, N.W. Washington, DC 20001 Telephone: 202-639-7701</p> <p>via DLCMCITC@BakerBotts.com</p>	<input type="checkbox"/> Via First Class Mail <input type="checkbox"/> Via Hand Delivery <input type="checkbox"/> Via Overnight Courier <input checked="" type="checkbox"/> Via Electronic Mail <input type="checkbox"/> Via EDIS

/s/ Isabella P. Downey

Isabella P. Downey
ITC Litigation Legal Assistant
FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.
901 New York Avenue, NW
Washington, DC 20001
(202) 408-4000 (Telephone)
(202) 408-4400 (Facsimile)
isabella.downey@finnegan.com